

REC-1

SEP 2005

10/519210
Doc. No.: 12810-00142-US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Lacour et al.

Conf. No.: 7204

Application No.: 10/519210

Group Art Unit: Not Yet Assigned

Filed: December 23, 2004

Examiner: Not Yet Assigned

For: PLASMID VECTORS FOR
TRANSFORMATION OF FILAMENTOUS
FUNGI

**STATEMENT TO SUPPORT FILING AND SUBMISSION
IN ACCORDANCE WITH 37 CFR §§1.821 THROUGH 1.825**

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

- ☒ I hereby state, in accordance with the requirements of **37 C.F.R. §1.821(f)**, that the information recorded in computer readable form is identical to the written Sequence Listing submitted herewith.
- ☒ I hereby state that the submission filed in accordance with **37 C.F.R. 1.821(g)** does not include new matter.
- ☐ I hereby state that the submission filed in accordance with **37 C.F.R. §1.821(h)** does not include new matter or go beyond the disclosure in the international application as filed.
- ☐ I hereby state that the amendments, made in accordance with **37 C.F.R. §1.825(a)**, included in the substitute sheet(s) of the Sequence Listing are supported in the application, as filed, in pages 1- __ of the Sequence Listing. I hereby state that the substitute sheets of the Sequence Listing do not include new matter.
- ☐ I hereby state that the substitute copy of the computer readable form, submitted in accordance with **37 C.F.R. §1.825(b)**, is the same as the amended Sequence Listing.



I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. §1.825(d), contains identical data to that originally filed on .

Respectfully submitted,

By Roberte M. D. Makowski

Roberte M. D. Makowski

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JXR/LAL

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/519,210	Thierry Lacour	53262-20100.00

INTERNATIONAL APPLICATION NO.

PCT/EP03/07028

I.A. FILING DATE

07/02/2003

PRIORITY DATE

07/05/2002

25227

MORRISON & FOERSTER LLP
 1650 TYSONS BOULEVARD
 SUITE 300
 MCLEAN, VA 22102

DOCKETED

REMINDER:

DUE DATE:

FINAL DUE DATE:

Seq Data Due
 8/1/05
 9/1/05
 2/1/06

CONFIRMATION NO. 7204

371 FORMALITIES LETTER



OC000000016392886

Date Mailed: 07/01/2005

NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

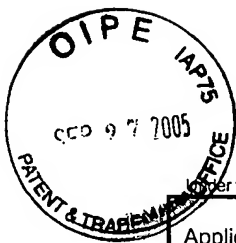
PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/519,210	PCT/EP03/07028	53262-20100.00

FORM PCT/DO/EO/922 (371 Formalities Notice)



REC PTO 27 SEP 2005

PTO/SB/92 (09-04)

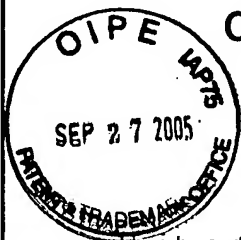
Approved for use through 07/31/2006. OMB 0651-0031

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Application No. (if known): 10/519210

Attorney Docket No.: 12810-00142-US



Certificate of Mailing under 37 CFR 1.8

Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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on September 21, 2005
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Signature

Tina R. Hall

Typed or printed name of person signing Certificate

Registration Number, if applicable

(302) 658-9141
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Response to Notice to Comply with Requirements for Patent Application Containing Nucleotide and/or Amino Acid Sequence Disclosures (3 pages)
Statement to Support Filing and Submission in Accordance with 37 CFR 1.821 - 1.825 (2 pages)
Sequence Listing (67 pages)
Diskette containing Sequence Listing
Copy of Notification to Comply With Requirements for Patent Application Containing Nucleotide and/or Amino Acid Sequence Disclosures (2 pages)
Petition for One Month Extension of Time Under 37 CFR 1.136(a) (1 page)
Transmittal of POA (1 page)
Power of Attorney (1 page)
Statement Under 37 CFR 3.73(b) (1 page)
Copy of Assignment and Recordation Cover Sheet (3 pages)
Postcard
Charge \$120.00 to deposit account 03-2775

Rec PTO

27 SEP 2005

10/519210

PTO/SB/22 (12-04)

Approved for use through 7/31/2006. OMB 0651-0031

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) FY 2005 (Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).)		Docket Number (Optional) 12810-00142-US	
Application Number 10/519210		Filed December 23, 2004	
For PLASMID VECTORS FOR TRANSFORMATION OF FILAMENTOUS FUNGI			
Art Unit Not Yet Assigned		Examiner Not Yet Assigned	
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application. The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):			
		<u>Fee</u>	<u>Small Entity Fee</u>
<input checked="" type="checkbox"/>	One month (37 CFR 1.17(a)(1))	\$120	\$60 \$ 120.00
<input type="checkbox"/>	Two months (37 CFR 1.17(a)(2))	\$450	\$225 \$
<input type="checkbox"/>	Three months (37 CFR 1.17(a)(3))	\$1020	\$510 \$
<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$1590	\$795 \$
<input type="checkbox"/>	Five months (37 CFR 1.17(a)(5))	\$2160	\$1080 \$ 120.00
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.		
<input type="checkbox"/>	A check in the amount of the fee is enclosed.		
<input type="checkbox"/>	Payment by credit card. Form PTO-2038 is attached.		
<input checked="" type="checkbox"/>	The Director has already been authorized to charge fees in this application to a Deposit Account.		
<input checked="" type="checkbox"/>	The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 03-2775. I have enclosed a duplicate copy of this sheet.		
I am the	<input type="checkbox"/>	applicant/inventor.	
	<input type="checkbox"/>	assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).	
	<input type="checkbox"/>	attorney or agent of record. Registration Number _____	
	<input checked="" type="checkbox"/>	attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 55,421	
<u>Roberte Makowski</u> Signature		<u>September 21, 2005</u> Date	
<u>Roberte M. D. Makowski, Ph.D.</u> Typed or printed name		<u>(302) 658-9141</u> Telephone Number	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
<input type="checkbox"/>	Total of 1 forms are submitted.		01/31/2006 PKIDWELL 00000006 032775 10519210 01 FC:1251 120.00 DA